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12	NORTHERN DISTRICT OF CALIFORNIA		
13	SAN FRANCISCO AND SAN JOSE DIVISIONS		
14			
15	WAYMO LLC,	) CASE NO. CV 17-939 WHA )	
16	Plaintiff,	)   COMPLAINT FILED <b>FEBRUARY 23, 2017</b>	
17	V.		
18	UBER TECHNOLOGIES, INC. ET AL,		
19	Defendant.		
	LIMITED STATES OF AMEDICA	) ) ) CASE NO. CR 19-377 LHK	
20	UNITED STATES OF AMERICA,		
21	Plaintiff,	[INDICTMENT FILED AUGUST 15, 2019]	
22	v.	) NOTICE OF RELATED CASE IN A CRIMINAL ACTION	
23	ANTHONY SCOTT LEVANDOWSKI,	) )	
24	Defendant.	) )	
25			
26			
27			
28			
	NOTICE OF RELATED CASE		

CV 17-939 WHA and CR 19-377 LHK

## <u>Introduction and Description of the Related Cases, L.R. 8-1(c)(2)</u>

Pursuant to Criminal Local Rule 8-1, the United States hereby notifies the Court that the two above-captioned cases are related. The earlier-filed civil action, Case No. CV 17-939 WHA, involved claims that Uber Technologies, Inc. and two entities formed by Anthony Levandowski, Ottomotto LLC and Otto Trucking LLC, misappropriated certain trade secrets developed by Google Inc. and subsequently assigned to Waymo LLC. The later-filed criminal action, Case No. CR 19-377 LHK, charges Anthony Levandowski with theft of trade secrets.

## Brief Statement of the Relationship Between the Cases, L.R. 8-1(c)(3)

Levandowski was not a party to the civil action, but his alleged theft of Google files related to self-driving technology was central to Waymo's theory of the case; *i.e.*, that Uber leveraged the stolen information to shortcut the process of building custom LiDAR for self-driving cars. (*See* ECF 1, at 5.) During the discovery process, the Court publicly referred the case "to the United States Attorney for investigation of possible theft of trade secrets based on the evidentiary record supplied thus far concerning plaintiff Waymo LLC's claims for trade secret misappropriation." (ECF 428; *see also* ECF 433.) The civil case ultimately settled partway through trial, on February 9, 2018.

At the time of the civil settlement, the criminal investigation by the U.S. Attorney's Office and the Federal Bureau of Investigation was ongoing. On August 15, 2019, a grand jury returned an indictment charging Levandowski with thirty-three counts of theft and attempted theft of trade secrets, in violation of Title 18, United States Code, Sections 1832(a)(1), (2), (3), & (4). Levandowski is the sole defendant against whom criminal charges have been filed. The Indictment identifies thirty-three specific files alleged to contain trade secrets. The technology revealed in at least twelve of the files was the subject of litigation in the civil case. For example, Counts One through Six of the Indictment relate to Google's transmit block configuration, trade secrets numbers two and seven in the civil case. Count Twenty-Seven of the Indictment is a presentation regarding Google's unique fiber laser design and was trade secret number ninety in the civil case.

## Government's Position on Assignment to a Single Judge, L.R. 8-1(c)(4)

Based upon the foregoing, the cases are related within the meaning of L.R. 8-1. Both actions concern one or more of the same alleged events, occurrences, transactions, or property. Furthermore,

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1	there will be some duplication of labor if they are heard by different judges. Government counsel	
2	believes that assignment of these cases to a single ju	udge is likely to conserve judicial resources and
3	promote an efficient determination of the criminal a	action.
4		
5	DATED: August 28, 2019	Respectfully submitted,
6		DAVID L. ANDERSON
7		United States Attorney
8		/s/
9		KATHERINE L. WAWRZYNIAK ANDREW F. DAWSON
10		AMIE D. ROONEY Assistant United States Attorneys
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1	CERTIFICATE OF SERVICE		
2	I, Katherine L. Wawrzyniak, certify as follows:		
3	1. I am an Assistant United States Attorney in the Criminal Division of the United States		
4	Attorney's Office for the Northern District of California.		
5	2. On August 27, 2019, I served the document, which was also electronically filed through		
6	the court's electronic-filing system under the above case numbers, titled:		
7	NOTICE OF RELATED CASE IN A CRIMINAL ACTION		
8	on the party or parties listed below by causing a copy of the document to be mailed by U.S Mail, and		
9	delivering the document by electronic mail, to the following addresses:		
10 11 12 13	Ismail Ramsey (izzy@ramsey-ehrlich.com) Miles Ehrlich (miles@ramsey-ehrlich.com) Amy Craig (amy@ramsey-ehrlich.com) Ramsey & Ehrlich LLP 803 Hearst Ave. Berkeley, CA 94710  Cris Arguedas (arguedas@achlaw.com) Ted Cassman (cassman@achlaw.com) Raphe Goldman (goldman@achlaw.com) Arguedas Cassman Headley & Goldman LLP 803 Hearst Ave. Berkeley, CA 94710		
14 15	Counsel for Defendant Anthony Levandowski  Counsel for Defendant Anthony Levandowski		
16 17	DATED: August 28, 2019    Mathematical Control of the Control of t		
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